United States Court of Appeals for the Federal Circuit

RULES OF PRACTICE



Federal Rules of Appellate Procedure
Federal Circuit Rules
Practice Notes
Federal Circuit Attorney Discipline Rules
Guide for Pro Se Petitioners and Appellants

May 1, 2003 Washington, DC

Amendments and revisions contained in this edition

- The list of judges has been updated to include Judge Prost.
- The Advisory Council membership has been updated.
- Federal Rules of Appellate Procedure 1, 4, 5, 21, 24, 25, 26, 26.1, 27, 28, 31, 32, 36, 41, 44, and 45 have been amended by direction of the Supreme Court effective December 1, 2002. Conforming changes have been made to the Federal Circuit Rules, Practice Notes, and forms.

Notice: The latest updates to the Rules of Practice of the U.S. Court of Appeals for the Federal Circuit can be located on its web site: http://www.fedcir.gov.

May 1, 2003

Library of Congress Cataloging Data

United States. Court of Appeals (Federal Circuit)
Rules of practice before the United States Court of
Appeals for the Federal Circuit: Federal rules of appellate
procedure, Federal Circuit rules, practice
notes, Federal Circuit attorney discipline rules and
guide for pro se petitioners and appellants.
[Washington, D.C.]: U.S. Court of Appeals for the
Federal Circuit, [1988] v.: forms; 28 cm.

- 1. United States. Court of Appeals (Federal Circuit) Rules and practice. 2. Appellate procedure--United States.
- 3. Court rules--United States.

KF9053 .A4 U55

347 . 73/24 21 347.3073 19

88-602221

United States Court of Appeals for the Federal Circuit

Circuit Justice

The Chief Justice

Chief Judge

Haldane Robert Mayer

Circuit Judges

Pauline Newman Paul R. Michel

Alan D. Lourie Raymond C. Clevenger, III

Randall R. Rader Alvin A. Schall

William C. Bryson Arthur J. Gajarsa

Richard Linn Timothy B. Dyk

Sharon Prost

Senior Circuit Judges

Wilson Cowen Daniel M. Friedman

Byron G. Skelton Glenn L. Archer, Jr.

S. Jay Plager

Circuit Executive and Clerk of Court

Jan Horbaly

Officers of the Court and Senior Staff

Melvin L. Halpern Senior Technical Attorney

Eleanor M. Thayer Senior Staff Attorney

Ruth A. Butler Administrative Services Office

> Patricia M. McDermott Circuit Librarian

Larry Luallen
Automation and Technology Office

Advisory Council Members

Clarence T. Kipps, Jr., Chair John S. Cooke David M. Cohen George E. Hutchinson

William F. Lee

Scott M. McCaleb

Don W. Martens

Kathleen McGinty

Carter G. Phillips

Jessica L. Parks

Michael J. Schaengold

Frank E. Scherkenbach

Ronald L. Smith

Terence P. Stewart

Kristin L. Yohannan

ex officio

Jan Horbaly

Eleanor M. Thayer

Robert L. Baechtold

CONTENTS

Foreword		X
Title I. Appli	cability of Rules	1
Rule 1.	Scope of Rules; Title	
Rule 2.	Suspension of Rules	
110110 21	040p 0101011 01 11 011 0	
Title II. Appe	eal from a Judgment or Order of a District Court	3
Rule 3.	Appeal as of Right—How Taken	3
Rule 3.1.	Appeal From a Judgment of a Magistrate Judge in a Civil Case (Abrogated)	4
Rule 4.	Appeal as of Right—When Taken	
Rule 5.	Appeal by Permission	
Rule 5.1.	Appeal by Permission Under 28 U.S.C. § 636(c)(5) (Abrogated)	
Rule 6.	Appeal in a Bankruptcy Case from a Final Judgment, Order,	
	or Decree of a District Court or of a Bankruptcy Appellate Panel	13
Rule 7.	Bond for Costs on Appeal in a Civil Case	
Rule 8.	Stay or Injunction Pending Appeal	15
Rule 9.	Release in a Criminal Case	17
Rule 10.	The Record on Appeal	17
Rule 11.	Forwarding the Record	20
Rule 12.	Docketing the Appeal; Filing a Representation Statement; Filing the Record	22
Title III. Rev	iew of a Decision of the United States Tax Court	23
Rule 13.	Review of a Decision of the Tax Court	23
Rule 14.	Applicability of Other Rules to the Review of a Tax Court Decision	24
	ew or Enforcement of an Order of an Administrative	
Agency, B	oard, Commission, or Officer	25
Rule 15.	Review or Enforcement of an Agency Order—How Obtained; Intervention	25
Rule 15.1.	O O	
Rule 16.	The Record on Review or Enforcement	30
Rule 17.	Filing the Record	30
Rule 18.	Stay Pending Review	
Rule 19.	Settlement of a Judgment Enforcing an Agency Order in Part	
Rule 20.	Applicability of Rules to the Review or Enforcement of an Agency Order	33
	ordinary Writs	
Rule 21.	Writs of Mandamus and Prohibition, and Other Extraordinary Writs	34
Title VI. Hab	eas Corpus; Proceedings in Forma Pauperis	
Rule 22.	Habeas Corpus and Section 2255 Proceedings	
Rule 23.	Custody or Release of a Prisoner in a Habeas Corpus Proceeding	
Rule 24.	Proceeding in Forma Pauperis	37
	neral Provisions	
Rule 25.	Filing and Service	
Rule 26.	Computing and Extending Time	
Rule 26.1.	Corporate Disclosure Statement	
Rule 27.	Motions	
Rule 28.	Briefs	49

CONTENTS

	Rule 29.	Brief of an Amicus Curiae	52
	Rule 30.	Appendix to the Briefs	
	Rule 31.	Serving and Filing Briefs.	
	Rule 32.	Form of Briefs, the Appendices, and Other Papers	
	Rule 33.	Appeal Conferences	
	Rule 34.	Oral Argument.	
	Rule 35.	En Banc Determination	
	Rule 36.	Entry of Judgment; Notice	
	Rule 37.	Interest on Judgment.	
	Rule 38.	Frivolous Appeal — Damages and Costs	
	Rule 39.	Costs	
	Rule 40.	Petition for Panel Rehearing.	
	Rule 40.	Mandate: Contents; Issuance and Effective Date; Stay	
	Rule 41.	Voluntary Dismissal	
	Rule 42.	Substitution of Parties	
	Rule 44.	Case Involving a Constitutional Question When the United States or the Relevant States	
	D 1. 45	is Not a Party	
	Rule 45.	Clerk's Duties	
	Rule 46.	Attorneys	
	Rule 47.	Local Rules by Courts of Appeals	
	Rule 47.1.	Sessions and Places of Holding Court	
	Rule 47.2.	Panels	
	Rule 47.3.	Appearance	
	Rule 47.4.	Certificate of Interest	
	Rule 47.5.	Statement of Related Cases	
	Rule 47.6.	Opinion and Order of the Court	
	Rule 47.7.	Attorney Fees and Expenses Incurred in This Court	
	Rule 47.8.	In Camera Proceeding	
	Rule 47.9.	Petition for Judicial Review Under 5 U.S.C. § 7703(d)	
		Dismissal of a Bankruptcy Stay Case	
		Quorum	
		Action for Judicial Review Under 38 U.S.C. § 502	
	Rule 48.	Masters	
	Rule 49.	Seal of the Court	
	Rule 50.	Employee and Former Employee	. 91
	Rule 51.	Complaint of Judicial Misconduct or Disability	. 91
	Rule 52.	Fees	. 92
	Rule 53.	Judicial Conference	. 94
	Rule 54.	Library	. 94
Аp	pendix of F	ederal Circuit Forms	. 95
I.	Form 1.	Notice of Appeal to the United States Court of Appeals for the Federal Circuit	
		from a Judgment or Order of a Court	. 97
	Form 2.	Petition for Review or Appeal of an Order or Decision of an Agency,	
		Board, Commission, or Officer	. 98
	Form 3.	Motion and Declaration for Leave to Proceed in Forma Pauperis	
	Form 3A.	Supplemental in Forma Pauperis Form for Prisoners	
	Form 4.	Appeal Information Sheet	
	Form 5.	Entry of Appearance	
	Form 6	Cortificate of Interest	107

CONTENTS

Form 7.	Statement Concerning Discrimination	109
Form 8.	Informal Brief (MSPB or Arbitrator Cases)	110
Form 9.	Informal Brief (District Court, Court of International Trade, and	
	Court of Federal Claims Cases)	112
Form 10.	Informal Brief (Court of Appeals for Veterans Claims Cases)	114
Form 11.	Informal Brief (Board of Contract Appeals, Board of Patent Appeals and	
	Interferences, Trademark Trial and Appeal Board, and International Trade	
	Commission Cases)	116
Form 12.	Informal Brief (Secretary of Veterans Affairs Cases Under 38 U.S.C. § 502)	118
Form 13.	Informal Brief (General Accounting Office Personnel Appeals Board, Office of	
	Compliance, and Equal Employment Opportunity Commission Cases)	
Form 14.	Sample Brief Cover (MSPB Cases)	
Form 15.	Sample Brief Cover (District Court Cases)	
Form 16.	Certificate of Compliance with Rule 32(a)	124
Form 17.	Application for Fees and Other Expenses Under the Equal Access to Justice Act	
Form 18.	Application for Admission to the Bar	126
Guide for Pro	Se Petitioners and Appellants	129
Federal Circu	uit Attorney Discipline Rules	137
Caustuaam F	Docorum	1.45

FOREWORD

Preface

Here is the information needed to conduct a proceeding in the United States Court of Appeals for the Federal Circuit. Counsel are assumed familiar with it. The Federal Rules of Appellate Procedure are current as of the date of issuance of this volume. Be sure to check for any later amendments.

Federal Rules of Appellate Procedure

The Federal Rules of Appellate Procedure not applicable in this court are indicated by strike-overs. Cite these rules as Fed. R. App. P.

Federal Circuit Rules

The court's own Federal Circuit Rules are in gray shaded areas and printed in this type. A court rule implementing a specific Federal Rule follows and bears the same number as the rule implemented. Other court rules concerning procedural matters appear after Fed. R. App. P. 47. Court rules concerning administrative matters appear after Fed. R. App. P. 48. Cite the court's rules as Fed. Cir. R.

Practice Notes

Practice Notes are in ruled boxes and are printed in this type. Practice Notes follow the rule annotated. Counsel may rely on the notes but may not use them to avoid controlling statutes or rules, which govern in the event of conflict. Do not cite the notes.

Appendix of Forms

Forms prescribed by the Federal Rules of Appellate Procedure and selected Federal Circuit forms are an appendix to this volume. Forms are also available from the clerk.

Guide for Pro Se Petitioners and Appellants

The Guide is located at the end of the rules. It provides an alternative method for conducting a proceeding in this court for those not represented by counsel. Do not cite the Guide.

Federal Circuit Attorney Discipline Rules

The court's rules provide the grounds for disciplining members of the bar, the types of discipline, and the procedures to be followed.

Courtroom Decorum

Provides proper conduct for participants and spectators during court sessions.

Inquiries and Comments

Telephone inquiries about the rules of practice may be made to the Clerk's Office, (202) 633-6550. Have these rules at hand when you call. Counsel receiving advice by telephone are expected to confirm it in writing to the clerk and to opposing counsel when that advice appears to authorize exceptions to these rules.

Comments on the rules are welcome. Send comments to Clerk, U.S. Court of Appeals for the Federal Circuit, 717 Madison Place, NW, Washington, DC 20439.

United States Court of Appeals for the Federal Circuit 717 Madison Place, NW Washington, DC 20439

Public access to the building is from 7:30 a.m. to 6:00 p.m. (EST).

Clerk's Office

Room 401 — Hours: 9:00 a.m. to 5:00 p.m.

Information — (202) 633-6550

Oral Argument Questions — (202) 312-5523

Facsimile — (202) 633-9623

Daily Disposition Sheet — (202) 633-6002

Questions concerning cases with docket numbers: xx-lxxx, e.g., 98-1467

Call (202) 312-5527, 312-5528, or 312-5529

Questions concerning cases with docket numbers: xx-3xxx, e.g., 96-3740

xx-5xxx, e.g., 99-5721 xx-7xxx, e.g., 97-7800

Call (202) 312-5526, 312-5529, 312-5515, or

312-5530

Questions concerning rehearings: Call (202) 312-5525

Library

Room 218 — Hours: 9:00 a.m. to 5:00 p.m.

Reference desk — (202) 312-5500

Web site: http://www.fedcir.gov

• Biographies of judges

Rules

• Precedential opinions for the preceding 90 days

Dispositions

• Oral Argument Calendar

• Job Postings

Electronic Bulletin Board System (BBS):

(202) 786-6584

• All opinions for the preceding 90 days

Dispositions

• Oral Argument Calendar

Rules

Oral Argument Tapes or Opinions:

Room 410 — Hours: 8:00 a.m. to 5:00 p.m. Phone — (202) 312–3467

Tapes are \$20.00; opinions are \$2.00.

The Court accepts Mastercard, Visa, checks, and cash (exact amount required).

Suggestions regarding this publication should be submitted to:

Clerk of Court United States Court of Appeals for the Federal Circuit 717 Madison Place, NW Washington, DC 20439

or rules@cafc.uscourts.gov